



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. 10/555,472	FIRST NAMED APPLICANT Sven Konig	ATTY. DOCKET NO. 101769-335-WCG
INTERNATIONAL APPLICATION NO. PCT/EP04/05339		
LA. FILING DATE 05/18/2004		PRIORITY DATE 05/19/2003

27386  
 NORRIS, MCLAUGHLIN & MARCUS, P.A.  
 875 THIRD AVE  
 18TH FLOOR  
 NEW YORK, NY 10022

CONFIRMATION NO. 4012

371 FORMALITIES LETTER

\*OC000000019964866\*

Date Mailed: 08/10/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 11/02/2005
- Copy of the International Search Report filed on 11/02/2005
- Information Disclosure Statements filed on 11/02/2005
- Request for Immediate Examination filed on 11/02/2005
- U.S. Basic National Fees filed on 11/02/2005
- Specification filed on 11/02/2005
- Claims filed on 11/02/2005
- Abstracts filed on 11/02/2005
- Drawings filed on 11/02/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:



- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/555,472	PCT/EP04/05339	101769-335-WCG

FORM PCT/DO/EO/905 (371 Formalities Notice)



**FAX COVER SHEET**

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New York, NY 10022  
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Telephone (212) 808-0700

August 25, 2006

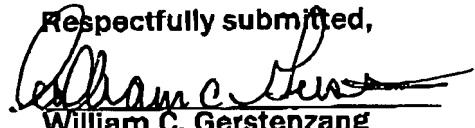
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****MAIL STOP PCT**

FAX NUMBER: 703-305-3230  
TOTAL PAGES: 8 (including Fax Cover Sheet)  
SERIAL NO.: 10/555,472  
APPLICANT: Sven KONIG, et. al.  
FILED: November 2, 2005  
FOR: METHOD FOR THE CONTINUOUS PRODUCTION OF POLYMERS  
MADE OF VINYL COMPOUNDS BY SUBSTANCE AND/OR  
SOLVENT POLYMERISATION  
ATTORNEY  
DOCKET NO.: 101769-335-WCG

**Comments: Attached are**

1. Response to Notification of Missing Requirements (2 sheets) -  
**CHARGE DEPOSIT ACCOUNT \$130**
2. Copy of PTO Notification of Missing Requirements (2 sheets)
3. Executed Declaration (3 sheets)

Respectfully submitted,

  
William C. Gerstenzang

Reg. No. 27,552



25 AUG 2006

10/55-70

VIA FACSIMILE: 703-305-3230  
Date of Deposit: August 25, 2006

Attorney Docket No.: 101769-335-WCG

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Sven KONIG, et. al.  
Serial No. : 10,555,472  
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SUBSTANCE AND/OR SOLVENT POLYMERISATION  
Art Unit : TBA  
Examiner : TBA

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Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER 35 USC 371**

SIR:

This is in response to the Notification of Missing Requirements dated August 10, 2006, in the above-identified application. A copy of said Notice is attached hereto.

It is respectfully requested that the enclosed declaration submitted herewith be joined up with the papers previously filed in this Application.

Applicant is:

☐ a small entity☒ other than a small entity



It is requested that the surcharge for the filing of the Declaration be charged to the undersigned's Deposit Account No. 14-1263.

Please charge:

- ☐ \$ 65.00 for small entity  
☒ \$130.00 for other than small entity.

CONDITIONAL PETITION FOR EXTENSION OF TIME

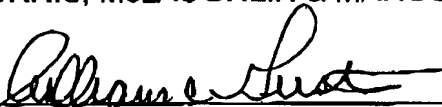
If any extension of time for this response is required, applicant requests that this be considered a petition therefor. Please charge the required Petition fee to Deposit Account No. 14-1263.

ADDITIONAL FEE

Please charge any insufficiency of fees, or credit any excess to our Deposit Account No. 14-1263.

Respectfully submitted,


NORRIS, McLAUGHLIN & MARCUS, P.A.

By   
William C. Gerstenzang  
Reg. No. 27,552

WCG/jg  
Encs. - executed Declaration

875 Third Avenue - 18<sup>th</sup> Floor  
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I hereby certify that this correspondence is being transmitted via facsimile, no. 703-305-3230 to the United States Patent and Trademark Office, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By   
Johanna Gavilanes  
Date August 25, 2006

09/13/2006 PKIDWELL 00000001 141263 10555472  
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